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Paxos Made Switch-y

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Abstract

This paper describes an implementation of the well-known consensus protocol, Paxos, in the P4 programming language. P4 is a language for programming the behavior of network forwarding devices (i.e., the network data plane). Moving consensus logic into network devices could significantly improve the performance of the core infrastructure and services in data centers. Moreover, implementing Paxos in P4 provides a critical use case and set of requirements for data plane language designers. In the long term, we imagine that consensus could someday be offered as a network service, just as point-to-point communication is provided today.

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1 Introduction

Paxos [13] is one of the most widely used protocols for solving *consensus*, the problem of getting a group of participants to reliably agree on some value used for computation. Paxos is used to implement state machine replication [12, 26], which is the foundation for building fault-tolerant systems, including many of the core infrastructure systems and services deployed in data centers, such as OpenReplica [20], Ceph [5], and Google's Chubby [4]. Since most data center applications critically depend on these services, the performance of Paxos has a dramatic impact on the overall performance of the data center.

In prior work [8], we argued that significant performance improvements could be gained by moving Paxos logic into network forwarding devices. Specifically, offering consensus as a network service would both reduce the number of hops that consensus messages need to travel, and remove message-processing bottlenecks at servers. As part of that work, we identified a sufficient set of operations that a switch would need to perform in order to implement Paxos logic. However, until recently, implementing Paxos logic inside of a network switch would be challenging, potentially requiring coordination with a particular vendor, and a customized hardware implementation. At the present time, the landscape for network computing hardware has begun to change. Several devices are on the horizon that offer flexible hardware with customizable packet processing pipelines, including PISA chips from Barefoot networks [2] and FlexPipes from Intel [11]. Other vendors such as Cisco and Cavium will soon produce similar devices.

This new hardware presents exciting opportunities for language designers, as they explore the question: what are the right abstractions for programming forwarding devices, and how should those abstractions compose? A handful of recent projects have made initial proposals, including Huawei's POF [27], Xilinx's PX [3], and the P4 Consortium's P4 [1]. These languages are poised to significantly improve the flexibility and programmability of the network data plane. However, to demonstrate their practical value, more work is needed around new applications and use cases.

In this paper, we describe an implementation of Paxos in the P4 language [1]. Our choice for P4 is pragmatic:

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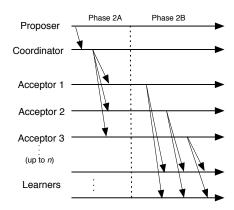


Figure 1: The Paxos protocol communication pattern.

the language is open and relatively more mature than other alternatives. Although Paxos is a relatively simple protocol, there are many details that make an implementation challenging. Consequently, there has been a rich history of research papers that describe Paxos implementations, including attempts to make Paxos Simple [14], Practical [17], Moderately Complex [28], and Live [6]. This paper differs from the prior literature because implementing Paxos on packet forwarding devices introduces new practical concerns that have not, to the best of our knowledge, been previously addressed.

Overall, we make the following three contributions: First, we expose a new area of research for consensus protocol designers, made interesting by the restrictions of the target platform (e.g., constraints on field size, persistent storage history, etc.). Second, we present an interesting use case for network hardware programming languages, as the Paxos protocol requires packet processing with a relatively complex logic that goes far beyond the examples published in existing literature [1]. Third, to users of P4, our experience with implementing Paxos provides a useful, concrete example of techniques that can be applied to other data plane applications.

All source code, as well as a demo running in Mininet, is publicly available under an open source license¹.

The rest of this paper is organized as follows. We first provide short summaries of the Paxos protocol (§2) an the P4 language (§3). We then discuss our implementation in detail (§4), followed by a general discussion of optimizations, challenges, and future work (§5). Finally, we discuss related work (§6), and conclude (§7).

2 Paxos Background

State-machine replication is used to replicate services, so that a failure at any one replica does not prevent the remaining operational replicas from servicing client requests. State-machine replication is implemented using a *consensus* protocol, which dictates how the participants propagate and execute commands.

Paxos [13] is perhaps the most widely used consensus protocol. The participants are processes that communicate by exchanging messages. Processes may simultaneously play one or more of fours roles: *proposers* issue requests to the distributed system (*i.e.*, propose a value); *coordinators* establish an ordering of requests; *acceptors* choose a single value; and *learners* provide replication by learning what value has been chosen.

A Paxos *instance* is one execution of consensus. An instance begins when a proposer issues a request, and ends when learners know what value has been chosen by the acceptor. The protocol proceeds in a sequence of rounds. Each round has two phases. For each round, one process, typically a proposer or acceptor, acts as the *coordinator* of the round. The coordinator is selected via an application-specific protocol, called *leader election*, which is external to the Paxos protocol.

Phase 1. The coordinator selects a unique round number rnd and asks the acceptors to promise that in the given instance they will reject any requests (Phase 1 or 2) with round number less than rnd. Phase 1 is completed when a majority-quorum Q_a of acceptors confirms the promise to the coordinator. If any acceptor already accepted a value for the current instance, it will return this value to the coordinator, together with the round number received when the value was accepted (vrnd).

Phase 2. Figure 1 illustrates the communication pattern of the Paxos participants during Phase 2. The coordinator selects a value according to the following rule: if no acceptor in Q_a accepted a value, the coordinator can

¹https://github.com/usi-systems/p4paxos

select any value. If however any of the acceptors returned a value in Phase 1, the coordinator is forced to execute Phase 2 with the value that has the highest round number *vrnd* associated to it. In Phase 2, the coordinator sends a message containing a round number (the same used in Phase 1). Upon receiving such a request, the acceptors acknowledge it, unless they have already acknowledged another message (Phase 1 or 2) with a higher round number. Acceptors update their *rnd* and *vrnd* variables with the round number in the message. When a quorum of acceptors accepts the same round number (Phase 2 acknowledgment), consensus terminates: the value is permanently bound to the instance, and nothing will change this decision. Thus, learners can deliver the value. Learners learn this decision either by monitoring the acceptors or by receiving a decision message from the coordinator.

As long as a nonfaulty coordinator is eventually selected and there is a majority quorum of nonfaulty acceptors and at least one nonfaulty proposer, every consensus instance will eventually decide on a value. A failed coordinator is detected by the other nodes, which select a new coordinator. If the coordinator does not receive a response to its Phase 1 message it can re-send it, possibly with a bigger round number. The same is true for Phase 2, although if the coordinator wants to execute Phase 2 with a higher round number, it has to complete Phase 1 with that round number.

The above describes one instance of Paxos. Throughout this paper, references to Paxos implicitly refer to multiple instances chained together (*i.e.*, Multi-Paxos [6]).

3 P4 Background

P4 [1] is a network data plane programming language. Its design is motivated both by the evolving OpenFlow standard [18], and by the need of many data centers for customized data plane functionality, for example, to simplify network management or enable data-center specific features. In contrast to other hardware programming languages, such as Verilog, P4 provides higher-level abstractions that are tailored directly to the needs of network forwarding devices. A complete language specification is available online [21].

The P4 program describes a sequence of tables, which *match* on packet header fields, and perform *actions* that forward, drop, or modify packets. The P4 language presents developers with five core abstractions:

- 1. *Header Fields*: A packet header is a collection of fields. Developers must specify the width of each field. At most one field may be variable length.
- 2. *Parsers:* Parsers describe how to transform packets to a parsed representation, from which header instances may be extracted.
- 3. *Tables:* Developers specify which fields are examined from each packet, how those fields are matched, and actions performed as a consequence of the matching.
- 4. *Actions:* Actions are invoked by tables, which are used to modify fields; add or remove headers; drop or forward packets; or perform stateful memory operations.
- 5. Control: Developers specify how tables are composed.

Beyond these five basic abstractions, P4 offers additional language constructs for performing stateful operations. Our implementation of Paxos uses *registers* and *metadata*. Registers provide persistent state organized into an array of *cells*. When declaring a register, developers specify the size of each cell, and the number of cells in the array. Metadata is used in a similar way to registers, but provides a mechanism for storing volatile per-packet state that may not be derivable from the packet header.

4 P4 Paxos

Figure 2 illustrates the architecture of switch Paxos. Switch hardware is shaded grey, and commodity servers are colored white. As with traditional Paxos, there are four roles that participants in the protocol play: proposers, coordinators, acceptors, and learners. In switch Paxos, the functionality of *coordinators* and *acceptors* is executed on forwarding devices.

Although the Paxos protocol described in Section 2 describes two phases, Phase 1 does not depend on any particular value, and can be run in advance for a large bounded number of values [13]. The pre-computation needs to be re-run under two scenarios: either (i) the Paxos instance approaches the bounded number of values,

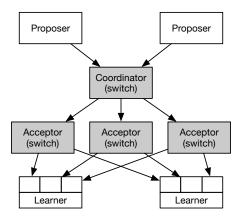


Figure 2: A switch-based Paxos architecture. Switch hardware is shaded grey. Other devices are commodity servers. The learners each have four network interface cards.

or (ii) the device acting as coordinator changes (possibly due to failure). Therefore, it is common for Paxos implementations to only implement Phase 2. Our switch-based Paxos follows this same approach.

The illustration in Figure 2 only shows one coordinator. If the other participants in the Paxos protocol suspect that the switch is faulty, the coordinator functionality can be moved to either another switch in the network or a server that temporarily assumes the role of coordinator. The specifics of the leader-election process are application-dependent. We have elided these details from the figure in order to simplify the presentation of our design.

Paxos Header All packets used for communication in switch Paxos must include a Paxos-specific protocol header, which is encapsulated in a UDP packet. Figure 3 shows the P4 specification of the packet header and parser. The header contains the following fields:

- msgtype: distinguishes the various Paxos messages (e.g., 1A, 2A, etc.).
- inst: the consensus instance of the message.
- rnd: the semantics depend on who is sending the message. If the proposer sends the message, it is the round number computed in Phase 1. If the acceptor sends the message, it is the round number the acceptor has cast a vote for a proposal.
- vrnd: the round number in which an acceptor has cast a vote.
- value: the proposed value, or the value for which an acceptor has cast a vote.

Note that message types are implemented with #define macros since there is no notion of an enumerated type in P4.

There are a few interesting decisions in the design of this header. First, the number of instances that can be pre-computed in Phase 1 is bound by the size of the inst field. If this field is too small, then consensus could only be run for a short time. On the other hand, the coordinator and acceptor code must reserve sufficient memory and make comparisons on this value, so setting the field too big could potentially impact performance Second, ideally, the value would be stored in the packet payload, not the packet header. However, Paxos must maintain the history of values, and to do so in P4, the field must be parseable, and stored in a register. Therefore, our current implementation has value in the header. Third, not all values in value will have the same size. This size is dependent on the application. While P4 plans to support variable length fields, the current implementation only supports fixed length fields. Since we have to conservatively set the value to the size of the largest value, we are storing potentially unused bytes.

Proposer The proposer logic is implemented as a library that exposes a simple API to the application. The API consists of a single method submit, which is used by the application to submit values and receive responses. The proposer component receives requests from the application, and creates a switch Paxos message to be sent

```
1 header_type paxos_t {
2    fields {
3        msgtype : 8;
4        inst : INST_SIZE;
5        rnd : 8;
6        vrnd : 8;
7        value : VALUE_SIZE;
8    }
9 }
10
11 parser parse_paxos {
12    extract(paxos);
13    return ingress;
14 }
```

Figure 3: Paxos packet header and parsers.

```
register reg_inst
       width : INST_SIZE;
       inst_count : 1;
4 }
 6 action handle 2a() {
       register_read(paxos.inst, reg_inst, 0);
       add_to_field(paxos.inst, 1);
register_write(reg_inst, 0, paxos.inst);
10 }
11
12 table tbl_sequence {
13     reads { paxos.msgtype : exact; }
14     actions { handle_2a; _nop; }
       size : 1;
15
16 }
17
18 control ingress {
       /* process other headers */
if (valid(paxos)) {
19
20
           apply(tbl_sequence);
22
23 }
```

Figure 4: Coordinator code.

to the coordinator (i.e., a Phase 2A message). Messages are sent to an IP Multicast address, which allows the coordinator and acceptors to efficiently multicast messages to multiple destinations. We define the multicast group address and associate the ports to it as part of an external switch configuration. When packets are processed at switches, the P4 runtime handles duplicating packets and forwarding out multiple ports. Our prototype is implemented as a Python module which runs on a commodity server.

Coordinator In Paxos, a coordinator brokers requests on behalf of proposers. Their role is to impose an ordering of messages when multiple proposers concurrently propose values. When there is a single coordinator, as is the case in our prototype, a monotonically increasing sequence number can be used to order the messages. This sequence number is written to the message's inst field.

Thus, to implement coordinator logic in P4, the code needs to perform the following actions: (i) copy the next-in-use instance number into the message header, (ii) increment the instance number, and (iii) store the value of the new instance number.

Figure 4 shows the corresponding P4 implementation. To persist the value of the instance number, we use a register named reg_inst (lines 1-3). Recall that in P4, actions can only be initiated in response to *matches* in a table. Therefore, when a coordinator receives a valid Paxos message, it will pass the packet to the table (lines 20-21), tbl_sequence, which will match on Paxos Phase 2A message and perform the handle_2a action. The handle_2a action performs the following operations: First it reads the instance number from the register and writes the number to the inst header field (line 7). Next, it increments the sequence number, and writes the updated value to the register (lines 8-9). Finally, the tbl_sequence table passes control of the packet back to the control block, which forwards the packet out on the appropriate ports.

Acceptor Paxos acceptors receive messages from the coordinator, and decide whether to accept or reject a proposal. Thus, acceptors are vital to the protocol for ensuring the consistency of the whole system. To perform their functionality, acceptors must maintain and access the history of proposals that they have accepted. This state does not need to grow unbounded, though, as it may be periodically trimmed. We have not included this "cleanup" in our P4 implementation.

When an acceptor receives a message, it must read the latest round number for the current instance from its storage, and compare its round number to the round number in the arriving packet. If the message is for a larger round number than what observed so far, the acceptor must process is according to the message type: either Phase 1A or Phase 2A. If it receives a Phase 1A message, it must update its local round register with the contents of the arriving packet. When an acceptor receives a Phase 2A message, it must update its state and forward the message.

Figure 5 shows the P4 implementation of acceptor logic. The acceptor needs to maintain several stateful constructs. It uses the metadata meta_paxos to store the round number for which it has cast a vote (line 7), and three registers, indexed by consensus instance, to store the history of rounds, vrounds, and values (lines 9-21).

The entry point to the acceptor logic is the control block (line 49). When a packet arrives, it is passed to two tables. The first table, tbl_rnd (line 42), invokes the read_rnd action to copy the current round for the instance specified in the packet to the metadata (lines 24-26). Then, the stored round is compared to the round in the packet header (line 53). If the round in the packet header is greater than the stored round, the packet is passed to the acceptor table (line 54). Otherwise, the packet is dropped (line 55).

The acceptor table invokes two possible actions, corresponding to Paxos message types. The action, handle_la, sets the message type to Phase 1B, reads the vrnd and the value from the registers, writes them on the corresponding fields on the packet, and updates the round register with the round it has just seen (lines 28-33). The action, handle_2a, accepts the proposal by updating the rnds, vrnds and values registers based on the corresponding fields on the packet header, and updates the message type from Phase 2A to Phase 2B.

Learner Learners are used by the protocol to provide replication by learning the result of a consensus instance. Learners must receive votes from a majority-quorum of the acceptors. This could be achieved in various ways. In Figure 1, and in our prototype implementation, learners are directly connected to each acceptor on a different network interface. In an alternative implementation, acceptors could add an "acceptor id" to the packet header, and an additional switch could be used to demultiplex messages from the acceptor switches.

We briefly describe our prototype implementation in more detail. Each learner is connected to all acceptors via a separate network interface. The learner identifies different acceptors by distinguishing which interface a packet arrives on. When a Phase 2B message arrives, each learner extracts the instance number, round, and value. The learner maintains a two-dimensional array to store the messages, where the first index represents an instance, and the second index represents an interface. In other words, if a message with instance number i arrives on interface j, it is stored as the i,j element of the matrix. For a given instance i, if the learner receives identical phase 2B messages from a majority-quorum of acceptors, then the corresponding value v is decided. A majority is equal to f+1 where f is the number of faulty acceptors that can be tolerated. After reaching the decision, the learner executes the request and responds to the proposers.

Optimizations Implementing Paxos in P4 requires 2f + 1 acceptors. Considering that acceptors in our design are network switches, this could be too demanding. However, we note that one could exploit existing Paxos optimizations to spare resources. Cheap Paxos [16] builds on the fact that only a majority-quorum of acceptors is needed for progress. Thus, the set of acceptors can be divided into two classes: first-class acceptors, which would be implemented in the switches, and second-class acceptors, which would be deployed in commodity servers. In order to guarantee fast execution, we would require f + 1 first-class acceptors (i.e., a quorum) and f second-class acceptors. Second-class acceptors would likely fall behind, but would be useful in case a first-class acceptor fails. Another well-known optimization is to co-locate the coordinator with an acceptor, which in our case would be an acceptor in the first class. In this case, a system configured to tolerate one failure (f = 1) would require only two switches.

```
i header_type paxos_metadata_t {
      fields |
7 metadata paxos_metadata_t meta_paxos;
9 register rnds {
      inst_count : NUM_INST;
12 }
13
14 register vrnds {
      width: 8;
15
      inst_count : NUM_INST;
17 }
18
19 register values {
20  width : VALUE_SIZE;
      inst_count : NUM_INST;
21
24 action read_rnd() {
25
     register_read(meta_paxos.rnd, rnds, paxos.inst);
26 }
27
28 action handle_1a() {
     modify_field(paxos.msgtype, PAXOS_1B);
      register_read(paxos.vrnd, vrnds, paxos.inst);
30
31
      register_read(paxos.value, values, paxos.inst);
32
      register_write(rnds, paxos.inst, paxos.rnd);
33 }
35 action handle_2a() {
     modify_field(paxos.msgtype, PAXOS_2B);
37
      register_write(rnds, paxos.inst, paxos.rnd);
      register_write(vrnds, paxos.inst, paxos.rnd);
register_write(values, paxos.inst, paxos.value);
38
39
40 }
42 table tbl_rnd { actions { read_rnd; } }
44 table tbl_acceptor {
      reads { paxos.msgtype : exact; }
actions { handle_1a; handle_2a; _drop; }
45
46
47 }
49 control ingress {
     /* process other headers */
if (valid(paxos)) {
51
        apply(tbl_rnd);
if (meta_paxos.rnd <= paxos.rnd) {</pre>
           apply(tbl_acceptor);
          } else apply(tbl_drop);
56
57 }
```

Figure 5: Acceptor code.

5 Discussion

The code in Section 4 provides a relatively complex instance of a data plane application that we hope can be useful to other P4 programmers. However, beyond providing a concrete example, the process of implementing Paxos in P4 also draws attention to requirements for P4 specifically, and data plane languages in general. It also highlights future areas of research for designers of consensus protocols. We expand the discussion of these two topics below.

5.1 Impact on P4 Language

Implementing Paxos in P4 provides an interesting use case for data plane programming languages. As a result of this experience, we developed several "big-picture" observations about the language and future directions for extensions or research. We share the observations below, because it is valuable to crystallize them in writing.

Programming with tables P4 presents a paradigm of "programming with tables" to developers. This paradigm is somewhat unnatural to imperative (or functional) programmers, and it takes some time to get accustomed to the abstraction. It also, occasionally, leads to awkward ways of expressing functionality. For example, the logic in the acceptor code requires first reading the round number from storage, and then perform a different action depending on the result of a comparison to the current packet's round number. However, the round number cannot be read from storage directly in the control statement. Instead, it must be performed as an action that results from a table application (tbl_rnd). It may be convenient to allow storage accesses directly from control blocks.

Modular code development Although P4 provides macros that allow source to be imported from other files (e.g., #include), the lack of a module system makes it difficult to separate functionality, and build applications through composition, as is usually suggested as best practice for software engineering. For example, it would be nice to be able to "import" a Paxos module into an L2 learning switch. This need is especially acute in control blocks, where tables and control flow have to be carefully arranged. As the number of tables, or data plane applications, grows, it seems likely that developers will make mistakes.

Error handling Attempting to access a register value from an index that exceeds the size of the array results in a segmentation fault. Obviously, performing bounds checks for every memory access would add performance overhead to the processing of packets. However, the alternative of exposing unsafe operations that could lead to failures seems equally undesirable. It may be useful in the future to provide an option to execute in a "safe mode", which would provide run-time boundary checks as a basic precaution. It would also be useful to provide a way for programs to catch and recover from errors or faults.

Control of memory layout While P4 provides a stateful memory abstraction (a register), there is no explicit way of controlling the memory layout across a collection of registers and tables, and its implementation is target dependent. In our case, the tbl_rnd and tbl_acceptor tables end up realizing a pipeline that reads and writes the same shared registers. However, depending on the target, the pipeline might be mapped by the compiler to separate memory or processing areas that cannot communicate, implying that our application would not be supported in practice. It would be helpful to have "annotations" to give hints regarding tables and registers that should be co-located.

Efficient hardware translation P4 is a quite powerful and expressive language. We were (pleasantly) surprised at how easily we could express the relatively complex Paxos logic. However, we have not yet been able to evaluate our Paxos implementation on an actual hardware deployment, and it remains to be seen if new hardware can actually support efficient implementations of P4 programs. Data plane language designers face a challenge of balancing expressivity with performance. How to negotiate this tradeoff remains an open question.

5.2 Impact on Paxos Protocol

Consensus protocols are typically designed without consideration for the networks on which they run. As a result, most consensus protocols make weak assumptions about network behavior, and therefore, incur overhead to compensate for potential message loss or re-ordering. However, advances in network hardware programmability have laid a foundation for designing new consensus protocols which leverage assumptions about network computing power and behavior in order to optimize performance.

One potentially fruitful direction would be to take a cue from systems like Fast Paxos [15] and Speculative Paxos [25], which take advantage of "spontaneous message ordering" to implement low-latency consensus. Informally, spontaneous message order is the property that with high probability messages sent to a set of destinations will reach these destinations in the same order. This can be achieved with a careful network configuration [25] or in local-area networks when communication is implemented with IP-multicast [23].

By moving part of the functionality of Paxos and its variations to switches, protocol designers can explore different optimizations. A switch could improve the chances of spontaneous message ordering and thereby increase the likelihood that Fast Paxos can reach consensus within few communication steps (i.e., low latency). Moreover, if switches can store and retrieve values, one could envision an implementation of Disk Paxos [10] that relies on stateful switches, instead of storage devices. This would require a redesign of Disk Paxos since the storage space one can expect from a switch is much smaller than traditional storage.

6 Related Work

In prior work [8], we proposed the idea of moving consensus logic to forwarding devices using two approaches: (i) implementing Paxos in switches, and (ii) using a modified protocol, named *NetPaxos*, which solves consensus without switch-based computation by making assumptions about packet ordering. This paper builds on that work by making the implementation of a switch-based Paxos concrete. In the process, we identify areas for future research both for data plane programming languages and consensus protocol design.

Data plane programming languages. Several recent projects have proposed domain-specific languages for data plane programming. Notable examples including Huawei's POF [27], Xilinx's PX [3], and the P4 [1] language used throughput this paper. We chose to focus on P4 because (i) there is a growing community of active users, and (ii) it is relatively more mature than the other choices. However, the ideas for implementing Paxos in switches should generalize to other languages.

Replication protocols. Research on replication protocols for high availability is quite mature. Existing approaches for replication-transparent protocols, notably protocols that implement some form of strong consistency (*e.g.*, linearizability, serializability) can be roughly divided into three classes [7]: (a) state-machine replication [12, 26], (b) primary-backup replication [19], and (c) deferred update replication [7].

At the core of all classes of replication protocol discussed above, there lies a message ordering mechanism. This is obvious in state-machine replication, where commands must be delivered in the same order by all replicas, and in deferred update replication, where state updates must be delivered in order by the replicas. In primary-backup replication, commands forwarded by the primary must be received in order by the backups; besides, upon electing a new primary to replace a failed one, backups must ensure that updates "in-transit" submitted by the failed primary are not intertwined with updates submitted by the new primary (e.g., [22]).

Although many mechanisms have been proposed in the literature to order messages consistently in a distributed system [9], very few protocols have taken advantage of network specifics. Protocols that exploit *spontaneous message ordering* to improve performance are in this category (*e.g.*, [15, 23, 24]). The idea is to check whether messages reach their destination in order, instead of assuming that order must be always constructed by the protocol and incurring additional message steps to achieve it. We believe that ordering protocols have much to gain (*e.g.*, in performance, in simplicity) by tightly integrating with the underlying network layer. Recent advances in programmable network hardware make this research endeavor realizable.

7 Conclusion

The advent of flexible hardware and expressive data plane programming languages will have a profound impact on networks. One possible use of this emerging technology is to move logic traditionally associated with the application layer into the network itself. In the case of Paxos, and similar consensus protocols, this change could dramatically improve the performance of data center infrastructure. In this paper, we have described an implementation of Paxos in the P4 language. This implementation is a first step towards the continued development and evolution of data plane language, that also opens the door for new research challenges in the design of consensus protocols.

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